

probe individual deep levels. As shown in Fig. 3A, three bright adatoms are visible. By performing spatial mapping of  $I(Z)$  curves, we observe that the current intensity does not vary in the same manner for these three dangling bonds, as seen in the sequence of Fig. 3, D to H, corresponding to current intensity maps obtained at different tip-surface distances. For the dangling bonds labeled DB1 and DB2, their contrast begins to saturate after the tip has moved toward the surface by 3.05 Å (Fig. 3F) and 3.86 Å (Fig. 3G), respectively, whereas a halo, a sign of saturation, is seen at a higher tip displacement for dangling bond DB3 (Fig. 3H).

The saturation of the current intensity for the three dangling bonds is obtained from the plot of the  $I(Z)$  curves in Fig. 3C. We find that the current intensity at saturation is four times higher on DB1 than on DB3. Although the spatial mapping of the  $I(Z)$  curves cannot be recorded at the energy  $E_0$  (19), similar variations are found when single  $I(Z)$  spectra are measured on different dangling bonds at the energy  $E_0$ , as illustrated in fig. S1 (20). Thus, such a result indicates that the capture rate depends on the environment of the dangling bond.

To understand such variations of the capture rate, we acquired the image in Fig. 3B simultaneously with that in Fig. 3A. In this filled-state image, the three dangling bonds appear bright, but they are each surrounded by a dark region, with different spatial extents and depths. These regions are the signature of the Coulomb interaction between the charged dangling bonds and the free holes. The strength of this interaction is intimately related to the distribution of subsurface charged acceptors. As shown in (8), the acceptors appear as bright protrusions superimposed to the atomic corrugation of the Si adatoms in the filled-state STM image (Fig. 3B). Notably, two acceptors are found to be quite close from DB3, whereas no acceptor is visible around DB1. Such a distribution is quite consistent with the variation of the saturated current measured between the three dangling bonds and demonstrates that the potential fluctuations caused by the random distribution of B dopant atoms dramatically change the capture rate of a dangling bond.

By measuring similar  $I(Z)$  curves for more than 90 dangling bonds, we found a distribution of the current intensities at saturation that is centered at 16 nA with a SD of 9 nA (Fig. 4). To explain this deviation, we analyzed the  $I(Z)$  curves that were measured away from the bright Si adatoms. From the exponential tunneling behavior of these  $I(Z)$  curves (see curves labeled BS and B<sub>Acc</sub> in Fig. 3C), the spatial variations of the apparent barrier height are extracted (21) and yield a potential fluctuation range of 25 meV. Such fluctuations are expected to affect both the capture coefficient and the hole concentration. At 77 K, the capture cross section has a thermally activated behavior (22), and we estimate that the potential fluctuations induce a

variation of the capture cross section by a factor of 1.4 (at most). Furthermore, the heavy doping of the Si sample yields a narrowing of the band gap of 130 meV. The potential fluctuations lead to a modification of the band gap narrowing, causing substantial variations of the hole concentration, which we estimate to range between  $0.6 \times 10^{20}$  and  $1.7 \times 10^{20}$  hole-cm<sup>-3</sup> (23, 24). Such variations of the capture cross section and hole concentration agree well with the measured distribution of the current intensities at saturation.

Although the capture rate is measured for a nonradiative recombination process involving the emission of vibrations, this new method is expected to be valid for the direct measurements of a wide range of carrier dynamic processes between a bound state and a continuum of states. It should be suitable to explore the capture and relaxation of charge carriers by the bound states of quantum dots or by point-defect states in nanostructures, such as nanowires, nanotubes, and single atomic sheets.

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- Because there is no state in the band gap region when the tip is above the passivated adatoms, closing the feedback loop between two  $I(Z)$  spectra leads to a tip crash for sample voltages below +1 V.
- Additional results obtained at a temperature of 5 K are available as supporting material on Science Online.
- The apparent barrier height is given by  $\Phi \approx 0.95 \left(\frac{d \ln I}{d \ln Z}\right)^2$ .
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## Spin Conservation Accounts for Aluminum Cluster Anion Reactivity Pattern with O<sub>2</sub>

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The reactivity pattern of small (~10 to 20 atoms) anionic aluminum clusters with oxygen has posed a long-standing puzzle. Those clusters with an odd number of atoms tend to react much more slowly than their even-numbered counterparts. We used Fourier transform ion cyclotron resonance mass spectrometry to show that spin conservation straightforwardly accounts for this trend. The reaction rate of odd-numbered clusters increased appreciably when singlet oxygen was used in place of ground-state (triplet) oxygen. Conversely, monohydride clusters Al<sub>n</sub>H<sup>-</sup>, in which addition of the hydrogen atom shifts the spin state by converting formerly open-shell structures to closed-shell ones (and vice versa), exhibited an opposing trend: The odd-*n* hydride clusters reacted more rapidly with triplet oxygen. These findings are supported by theoretical simulations and highlight the general importance of spin selection rules in mediating cluster reactivity.

**M**etal-atom clusters occupy a broad middle ground between small molecules and extended solids. Early mass spectrometric studies revealed certain atomic compositions that exhibited unusual stability and were therefore termed “magic.” A framework analo-

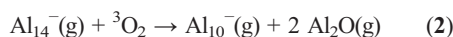
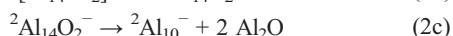
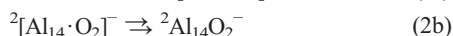
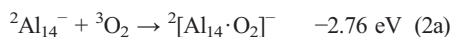
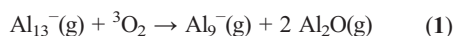
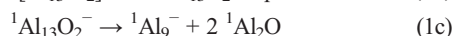
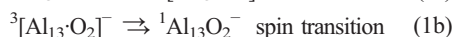
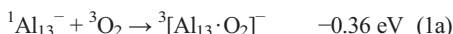
gous to the atomic shell-filling model has been successful in rationalizing many of these observations on the basis of electronic structure considerations; i.e., the valence electrons are governed by an average potential created by the residual positive charges. The result is a jellium-

like shell structure with “magic” electron numbers 2, 8, 20, 40, 70, etc. (1, 2). Though these “magic” numbers were first recognized for metal clusters of sodium (3) and other metals containing s-valence electrons (e.g., Cu, Ag, Au), they have also been applied to Al clusters because there is an overlap of 3s and 3p orbitals for clusters containing more than nine atoms (4, 5). Although the unusual stability of, e.g.,  $\text{Al}_{13}^-$  with its 40 electrons has been well explained (6), for Al clusters in general, certain reactivity patterns remain puzzling. One of these is the odd/even effect whereby  $\text{Al}_n^-$  clusters with an odd number of Al atoms react much more slowly with oxygen than do even-numbered clusters (7–9). According to previous studies, the reactivity of large Al clusters with oxygen should be determined by two factors, namely, the energy required to remove an Al atom and the electron affinity of the cluster (10). However, these factors alone may not be sufficient to describe the observed odd/even effect. The reduced reactivity of the  $\text{Al}_{\text{odd}}$  clusters with triplet oxygen ( $^3\text{O}_2$ ) was mentioned frequently in the literature (11), but to our knowledge, the role of the spin has not been considered in this context (7–9). Spin conservation was estimated to be negligible because for multielectron systems like clusters, intersystem crossing processes were expected to take place quickly so that the intermediate products should always have the lowest possible spin multiplicities. Here, we demonstrate that this concept should be reconsidered. Spin conservation has an essential influence not only on reactions of small molecules—textbook examples are the fast reaction of NO radical with  $\text{O}_2$  and the low reaction rate between  $\text{SO}_2$  and  $\text{O}_2$ —but also on Al clusters. Similar conclusions have been reached in a recent study on the reactions of several  $\text{Al}_4\text{H}_n^-$  species with  $\text{O}_2$  (12). To investigate this issue in more detail, we studied reactions between  $\text{Al}_n\text{H}^-$  clusters and triplet  $\text{O}_2$  as well as those of mass-selected  $\text{Al}_{13}^-$  clusters with singlet  $\text{O}_2$  by Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR MS) and by quantum chemical calculations.

Our apparatus and cluster ion source have been described elsewhere (13, 14). The experiments were performed under ultrahigh-vacuum (UHV) conditions, so that only a few collisions with other molecules (approximately one collision per 10 s per cluster) could occur (13, 14). The reaction time can be expanded and primary steps followed. In this way, snapshots of the re-

action processes are taken, i.e., cluster degradation due to the formation of molecular aluminum monoxide.  $\text{Al}_2\text{O}$  is known to be a prominent gas-phase species produced in high-temperature reactions of aluminum and oxygen (15).

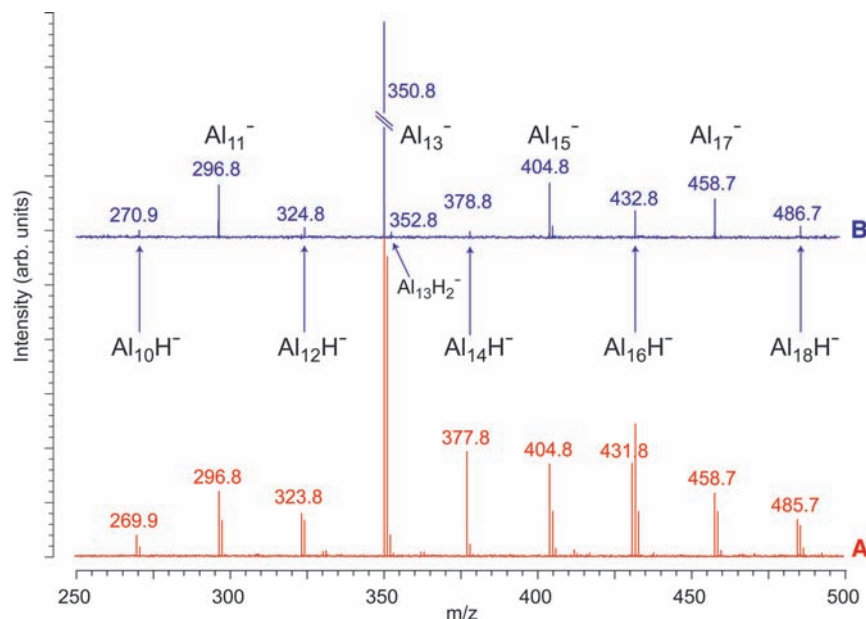
By 1989, Castleman (16) and Jarrold (7) and their colleagues had respectively demonstrated that anionic and cationic Al cluster ions showed the above-mentioned odd/even effect in the presence of  $\text{O}_2$ . Hettich (9) confirmed this behavior for  $\text{Al}_n^-$  cluster ions through FT-ICR MS experiments (fig. S1). Motivated by these results, we examined this odd/even effect by exposing mass-selected  $\text{Al}_{13}^-$  and  $\text{Al}_{14}^-$  clusters, two representatives of the  $\text{Al}_{\text{odd}}$  and  $\text{Al}_{\text{even}}$  series, respectively, to an  $\text{O}_2$  atmosphere at  $10^{-8}$  mbar.  $\text{Al}_{13}^-$  clusters proved relatively inert (just as Castleman had reported), and only small traces of  $\text{Al}_9^-$  clusters (as reaction products) were detected during our FT-ICR MS investigations (Eq. 1).



In contrast, we found that mass-selected  $\text{Al}_{14}^-$  clusters reacted spontaneously to give  $\text{Al}_{10}^-$  [and two  $\text{Al}_2\text{O}$  (15, 17, 18) equivalents] under the same conditions (Eq. 2).

Although  $\text{Al}_{13}^-$  is a “double magic” cluster (40 electrons fulfill the shell model and the topology represents a centered icosahedron) (19), and thus of particular importance, analogous findings were also found for reactions of other odd- and even-numbered clusters with  $\text{O}_2$ . Generally, all  $\text{Al}_{\text{odd}}^-$  clusters react much more slowly with triplet oxygen than do  $\text{Al}_{\text{even}}^-$  clusters.

To understand the experimental observations, we drafted the following spin conservation hypothesis. With its 40 valence electrons (closed shell), the spin multiplicity of the ground state of the  $\text{Al}_{13}^-$  cluster is a singlet ( $^1\text{A}_g$ ) and is labeled by  $^1\text{Al}_{13}^-$  in the text below. Correspondingly,  $^2\text{Al}_{14}^-$  has a doublet ground state ( $^2\text{A}''$ ) due to its one unpaired electron [detailed information on quantum chemical calculations and spin states is available in the supporting online material (fig. S3 and table S1)]. Initially, these species form adducts with  $^3\text{O}_2$  with the associated oxygen molecule bound to the surface of the cluster (denoted by  $[\text{Al}_{13}\cdot\text{O}_2]^-$  and  $[\text{Al}_{14}\cdot\text{O}_2]^-$  in Eqs. 1a and 2a, respectively). Due to spin conservation restrictions (20),  $^3[\text{Al}_{13}\cdot\text{O}_2]^-$  is formed in a triplet state and  $^2[\text{Al}_{14}\cdot\text{O}_2]^-$  in a doublet state. Subsequently, the oxygen molecule dissociates on the surface of the structurally rearranging cluster (Eqs. 1b and 2b, respectively), causing the cluster to heat up and leading to fragmentation of the cluster (Eqs. 1c and 2c). Because  $^3[\text{Al}_{13}\cdot\text{O}_2]^-$  is in a triplet state, whereas its energetically accessible fragments,  $\text{Al}_9^-$  and  $\text{Al}_2\text{O}$ , are all singlets, there must be a spin transition—an inherently slow process. The direct formation of  $^1[\text{Al}_{13}\cdot\text{O}_2]^-$  (singlet state) from  $^1\text{Al}_{13}^-$  and  $^3\text{O}_2$  is spin forbidden and therefore unlikely to proceed, because spin-orbit coupling in the case of light metals like alumi-



**Fig. 1.** Typical FT-ICR mass spectra after laser-desorption/ionization. (A) In the presence of  $\text{H}_2$  as the collision gas during the cluster generation,  $\text{Al}_n\text{H}_m^-$  clusters are formed. (B) After admitting  $^3\text{O}_2$ , all  $\text{Al}_{\text{odd}}\text{H}^-$  react rapidly away. *m/z*, mass/charge ratio.

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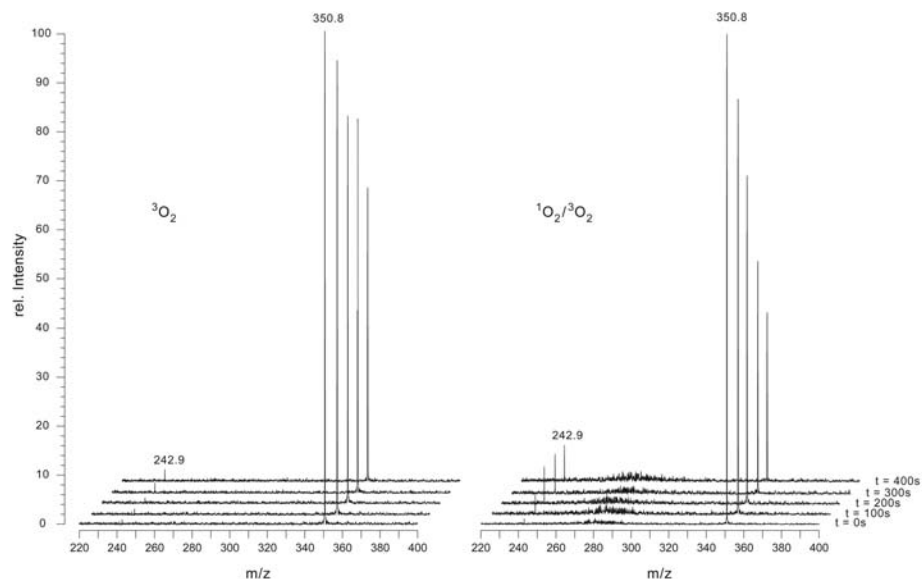
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num is small, preventing appreciable overlap between the potential energy surfaces (PESs) of different spin states (20). In contrast, in the case of  $\text{Al}_{14}^-$ , no such spin transition need occur because the initially formed  $^2[\text{Al}_{14}\cdot\text{O}_2]^-$  can react directly via  $^2\text{Al}_{14}\text{O}_2^-$  to form products  $^2\text{Al}_{10}^-$  and  $\text{Al}_2\text{O}$ . We therefore hypothesized that for reactions of Al clusters with  $^3\text{O}_2$ , diminished rates are expected if the initially formed  $\text{O}_2$  adduct is a triplet (due to spin conservation, e.g.,  $^3[\text{Al}_{13}\cdot\text{O}_2]^-$ ) and the final products are singlets (here,  $^1\text{Al}_9^-$  and  $^1\text{Al}_2\text{O}$ ).

To substantiate this idea experimentally, we manipulated the spin state of the aluminum-containing reactants by preparing aluminum hydride cluster anions,  $\text{Al}_n\text{H}^-$ , and exposed them to  $^3\text{O}_2$ ; we changed the spin of  $\text{O}_2$  by generating singlet oxygen ( $^1\text{O}_2$ ), allowing it to react with  $\text{Al}_{13}^-$  and other odd-numbered  $\text{Al}_n^-$  clusters.

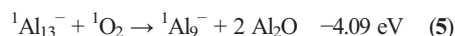
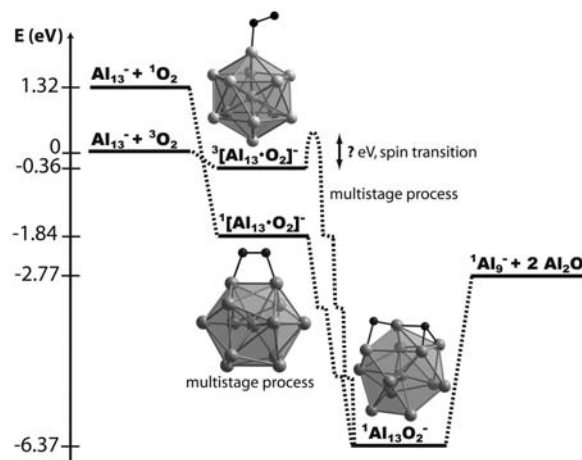
Upon addition of a hydrogen atom, the number of electrons in the cluster core changes by one, which also changes the spin state. We gen-

erated  $\text{Al}_n\text{H}^-$  clusters by reaction of  $\text{Al}_n^-$  clusters with hydrogen (Fig. 1A) (21). All  $\text{Al}_{\text{odd}}\text{H}^-$  reacted rapidly with  $^3\text{O}_2$ , whereas  $\text{Al}_{\text{even}}\text{H}^-$  proved inert. Thus, the reactivity pattern was notably inverted relative to  $\text{Al}_n^-$  behavior, e.g.,  $\text{Al}_{13}\text{H}^-$  reacted, even though  $\text{Al}_{13}^-$  (and  $\text{Al}_{13}\text{H}_2^-$ ) had been nearly unreactive (Fig. 1B), whereas the initial  $\text{Al}_{14}\text{H}^-$  signal remained unchanged, while  $\text{Al}_{14}^-$  reacted away (Eqs. 3 and 4). The observed universality of such behavior for these systems indicates that triplet oxygen reacts rapidly with all species in a doublet spin state (and possibly higher spin states), whereas it reacts much more slowly with species in a singlet state. The shell model considerations play a role as well by explaining the particularly unreactive character of certain clusters, most notably  $\text{Al}_{13}^-$ .



**Fig. 2.** Reactions of mass-selected  $\text{Al}_{13}^-$  clusters with  $^3\text{O}_2$  (left) and with a  $^1\text{O}_2/{}^3\text{O}_2$  mixture (right). The FT-ICR mass spectra show  $\text{Al}_9^-$  as the only major reaction product at  $m/z = 242.9$  after up to 400 s of exposure to  $^3\text{O}_2$  and  $^1\text{O}_2/{}^3\text{O}_2$ .

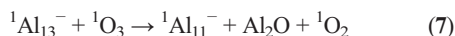
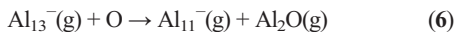
**Fig. 3.** Energy diagram (calculated) for the interaction of  $^1\text{O}_2$  and  $^3\text{O}_2$  on the  $\text{Al}_{13}^-$  cluster surface. The transition from  $^3[\text{Al}_{13}\cdot\text{O}_2]^-$  to  $^1\text{Al}_{13}\text{O}_2^-$  is estimated to be a multistage process in which  $\text{O}_2$  is first bound side-on, then rearranges to end-on, after which the O-O bond is disrupted, new Al-O bonds are formed ( $\mu^3$ ), and the spin state changes from triplet to singlet. In addition, the further degradation to  $\text{Al}_9^-$  and two  $\text{Al}_2\text{O}$  is displayed.



In the reaction of  $\text{Al}_{13}^-$  with  $^1\text{O}_2$ ,  $^1[\text{Al}_{13}\cdot\text{O}_2]^-$  is expected to form in its singlet state (Eq. 5a). In the course of further reaction through  $^1\text{Al}_{13}\text{O}_2^-$  (in which  $\text{O}_2$  is dissociated) to the products  $^1\text{Al}_9^-$  and  $2 \text{Al}_2\text{O}$ , all reaction steps are spin allowed (Eqs. 5b and 5c). In contrast to reactions with  $^3\text{O}_2$ , no spin transition is needed in the case of  $^1\text{O}_2$ , and therefore the reaction can proceed unimpeded.

Although  $^1\text{O}_2$  is extremely short-lived in dense gases at pressures around 1 atm, the average lifetime of  $^1\text{O}_2$  ( $^1\Delta_g$ ) can be extended up to  $3 \times 10^3$  s under collision-free conditions (pressure of  $\sim 10^{-8}$  mbar) (22), and experiments can be performed on this time scale. To generate  $^1\text{O}_2$ , we exposed  $^3\text{O}_2$  to a static electrical discharge (Tesla coil) (23), yielding a  $^1\text{O}_2/{}^3\text{O}_2$  mixture. Only  $^1\text{O}_2$  molecules reacted at an appreciable rate with  $\text{Al}_{13}^-$ , and  $\text{Al}_9^-$  would be expected as the only product. As shown in Fig. 2,  $\text{Al}_{13}^-$  was degraded to  $\text{Al}_9^-$  in a  $^1\text{O}_2$ -containing atmosphere. The large excess of  $^3\text{O}_2$  did not affect the experiment to an appreciable extent because the reaction of  $\text{Al}_{13}^-$  with  $^3\text{O}_2$  is much slower [Fig. 2 (left)].

Tesla coil discharges can also induce formation of O atoms. If O atoms were to survive without reacting with the apparatus walls, they could potentially react with  $\text{Al}_{13}^-$  to form  $\text{Al}_{11}^-$  as indicated in Eq. 6. However, as shown in Fig. 2 (right), no  $\text{Al}_{11}^-$  formation was observed.



In addition, ozone ( $\text{O}_3$ ) could be formed in the discharge as well (23). To characterize the reactivity of  $\text{O}_3$ , we exposed  $\text{Al}_{13}^-$  clusters to a pure  $\text{O}_3$  atmosphere (23). This experiment showed that  $\text{Al}_{13}^-$  decomposed into  $\text{Al}_{11}^-$  and  $\text{Al}_9^-$ , as well as into  $\text{Al}_2\text{O}$  as coproduct (fig. S2 and Eqs. 7 and 8). Ozone has a singlet electronic ground state, and therefore all reaction steps are spin-allowed. As shown in Fig. 2 (right),  $\text{Al}_{11}^-$  is absent, indicating that  $\text{O}_3$  was also not a major factor in the reaction of  $\text{Al}_{13}^-$  with the  $^1\text{O}_2/{}^3\text{O}_2$  mixture. (24)

To support our experimental findings, we performed quantum chemical calculations at the second-order Møller-Plesset (MP2) level of theory with a triple-zeta polarization (TZVP) basis set using the Gaussian03 code (25). Coupled cluster with single, double and perturbative

triple excitations [CCSD(T)] single-point calculations were performed with the same basis set on MP2-optimized geometries. Unless otherwise noted, all energetic results reported here correspond to those obtained at the CCSD(T) level (26).

In modeling the odd/even effect, we assumed that the total reaction, wherein an  $Al_n^-$  cluster is reduced to two smaller fragments by oxygen, is a multistage process. In the initial step,  $O_2$  interacts with the cluster to form an adduct, which further dissociates into the products  $Al_{n-4}^-$  and two  $Al_2O$ . The reactivity of a given cluster is determined by the nature of the initial interaction of  $O_2$  with the cluster to form the adduct. Theoretical investigations on similar reactions between  $^1O_2$  and unsaturated hydrocarbons ( $2 + 2$  and  $2 + 4$  cycloadditions, “ene”-reactions) have revealed a puzzling array of reactive outcomes (27, 28). Our calculations reveal that the initial interaction of  $O_2$  with the cluster leads to an association complex, in which the O-O bond is slightly elongated, but remains intact (29). Subsequently, there is a strong bond formation between Al atoms and  $O_2$ , which is the rate-determining step. By comparison, the reaction of  $^3O_2$  with C=C systems proceeds similarly, first through formation of a C-C-O-O adduct and then dissociation to products (28). Next, we calculated the reaction energies for each cluster. The spin-allowed interaction of  $^1O_2$  with  $Al_{13}^-$  leading to formation of  $^1[Al_{13}O_2]^-$  is calculated to be highly exothermic by  $-3.16$  eV (Eq. 5a). Reactions of  $^1O_2$  with carbon-carbon bonds are known to be concerted. Therefore, we assume that both O-Al bonds are formed simultaneously (Fig. 3). In subsequent reaction steps, both O atoms separate to give  $^1Al_3O_2^-$  (Eq. 5b). This multistage process in which  $O_2$  dissociates and covalent Al-O bonds are formed is also calculated to be highly exothermic ( $-4.53$  eV). As experimentally observed,  $Al_9^-$  and 2  $Al_2O$  molecules are formed as final products of this reaction cascade. The degradation of  $^1Al_3O_2^-$  to these products is calculated to be endothermic by  $3.60$  eV (Eq. 5c). Thus, the net reaction is exothermic by  $-4.09$  eV.

Concerning the association reaction of  $Al_{13}^-$  with  $^3O_2$ , we found that the initial interaction is only slightly exothermic by  $-0.36$  eV ( $-35$  kJ mol $^{-1}$ ) (Eq. 1a). In contrast to reactions of  $^1O_2$ , the  $^3O_2$  diradical reacts through a consecutive pathway with only one O-Al bond being formed in the first step (Fig. 3). We calculated the formation of  $^2Al_4O_2^-$  to be exothermic by  $-2.76$  eV ( $-267$  kJ mol $^{-1}$ ) (Eq. 2a).

For reactions of aluminum hydride clusters with  $^3O_2$ , we observed a similar trend, where the formation of  $^3[HA_{14}O_2]^-$  (triplet state) is less exothermic than the formation of  $^2[HA_{13}O_2]^-$  (doublet state) (Eqs. 3a and 4a).

The calculations indicate that on an aluminum hydride cluster surface, the initial interaction of oxygen is again less exothermic if the intermediate adduct is formed in a triplet state.

As demonstrated by the calculations (Fig. 3), the formation of  $^1Al_3O_2^-$  is highly exothermic, but its total heat of formation ( $-7.69$  eV) cannot be dissipated under UHV conditions; as a result,  $^1Al_3O_2^-$  fragments by loss of two  $Al_2O$  molecules within a few nanoseconds (SOM Text, section 2). The cleavage of  $Al_2O$  is endothermic and will absorb much of that energy ( $3.60$  eV). Though the above reactions are all spin-allowed, it is noteworthy that the highly exothermic formation of  $^1[Al_{13}O_2]^-$  (singlet state) from  $Al_{13}^-$  and  $^3O_2$  is not probable according to spin conservation rules (Eq. 1d).

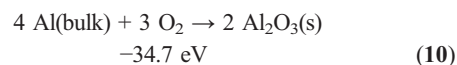
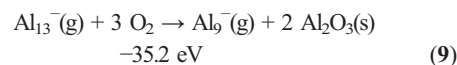
The results of the calculations indicate that the formation of the initial oxygen adducts of all theoretically considered systems are exothermic and therefore spontaneous. Yet, observing that the exothermicity trend ( $Al_{14}^- > Al_{13}H^- > Al_{14}H^- > Al_{13}^-$ ) closely parallels the trend of their reactivity toward oxygen ( $Al_{14}^- \sim Al_{13}H^- > Al_{14}H^- \gg Al_{13}^-$ ) led us to believe that although the spin-conservation factors must play a dominant role, to fully understand the kinetics of oxidation of these systems one has also to consider the energy factors. For example, systems forming a triplet initial adduct (e.g.,  $Al_{13}^-$  and  $Al_{14}H^-$ ) need to cross from their PES onto the PES of the singlet state (spin flip). As mentioned earlier, these transitions are inherently slow in light atom-containing species owing to their small spin-orbit coupling. In addition, crossing points between PESs of different spin states regularly occur at geometries different from that of the ground state, and therefore an energy barrier inherently accompanies these transitions. Consequently, a sufficient amount of energy is a necessary, yet not a sufficient condition, for spin flip reactions to proceed. For example, particularly stable systems such as the “double magic”  $Al_{13}^-$  do not release the required amount of energy upon adduct formation ( $-0.36$  eV) to even reach the crossing point where the spin flip could occur. In contrast, the less-stable singlet systems such as  $Al_{14}H^-$  and probably most other  $Al_{\text{odd}}^-$  and  $Al_{\text{even}}H^-$  systems that release a considerable amount of energy upon adduct formation (e.g.,  $-1.43$  eV in the case of  $Al_{14}H^-$ ) can likely reach the barrier energetically; however, these systems still suffer from the low probability of the transition between the two spin surfaces. This twofold control of kinetics could explain why among unreactive  $Al_{\text{odd}}^-$  and  $Al_{\text{even}}H^-$  clusters, some clusters, most notably  $Al_{13}^-$ , prove particularly unreactive.

Thus, although the calculations could not fully illuminate the reactive pathway, they were nevertheless instructive and essentially supported our hypothesis: Reactions slow down if in accordance with spin conservation rules, the initial  $O_2$  adduct is formed in a triplet state. This is true for pure Al clusters, as well as for Al cluster hydrides. When  $Al_{13}^-$  or  $Al_{14}H^-$  react with  $^3O_2$ , the intermediate adducts are formed in a triplet state. Because the final products of these reactions are all in singlet states, there must be a spin transition in the course of the reaction cas-

cade. In the case of  $Al_{14}H^-$ , the formation of  $^3[HA_{14}O_2]^-$  is exothermic by  $-1.43$  eV. This step provides sufficient energy to eventually undergo a spin transition. Therefore, it is not surprising that reactions of  $Al_{14}H^-$  and  $^3O_2$  are slow in comparison with  $Al_{14}^-$ , but are much faster than reactions of  $Al_{13}^-$ , for which formation of  $^3[Al_{13}O_2]^-$  is less exothermic ( $-0.36$  eV).

In conclusion, we have shown that the reactivity of  $Al_n^-$  clusters with  $^3O_2$  exhibits an odd/even trend that gets inverted upon addition of a single hydrogen atom. We furthermore demonstrated that  $Al_{13}^-$ , which reacts negligibly with  $^3O_2$ , reacts much more rapidly when exposed to even a small amount of  $^1O_2$ . These findings together represent direct experimental proof of the importance of spin in explaining the odd/even pattern observed for reactivities of Al clusters toward oxygen. It remains to be seen whether other systems can be experimentally shown to undergo similar selectivity. However, we speculate that as long as the reactant molecule exhibits a triplet state and the cluster series alternates in spin states, the spin restrictions may become crucial and cause clusters to exhibit the odd/even effect. In support of this idea, our recent study of similarly exothermic reactions of mass-selected  $Al_n^-$  clusters with  $Cl_2$  showed only small differences in reactivities of neighboring Al clusters, agreeing with our expectations for a reactant in a singlet state ( $^1Cl_2$ ) (13).

One implication of our study may have relevant consequences for catalysis. For example, deposited nanoparticles, clusters, or surface sites that possess specific spin states may exhibit highly selective catalytic behavior, arising from the difficulty of metal clusters containing light elements like aluminum to undergo a spin flip during the primary steps of a reaction (20). For clusters of heavier metal atoms with considerable spin-orbit coupling, this kind of selectivity may not be possible. Another important result is revealed when comparing the “double magic”  $Al_{13}^-$  super atom with the bulk metal (SOM Text, section 1). In both cases, there are marked topological (e.g., coordination number of the central atom is 12) and thermodynamic similarities (13, 14) [e.g., the formation of solid  $Al_2O_3$  from  $Al_{\text{metal}}$  and  $Al_{13}^-$  exhibits nearly the same reaction enthalpy  $\Delta_r H^\ominus(0\text{ K})$ : Eq. 9 ( $-3399$  kJ mol $^{-1}$ ) and Eq. 10 ( $-3351$  kJ mol $^{-1}$ )]. Within the error margin (theory and experiment), these enthalpy values equal the energy differences presented in Eqs. 1 to 5, 9, and 10. (Eqs. 9 and 10):



Thus,  $Al_{13}^-$  may provide a suitable model for some aspects of the surface of bulk Al. If so, there may be an analogy between the slow reaction of

$^3\text{O}_2$  with bulk Al (30) and with the  $\text{Al}_{13}^-$  cluster. Because the interpretation of the low bulk reactivity remains unsettled, the results presented here may prove useful in unraveling the controversy surrounding the interpretation of solid-state aluminum reactivity. Furthermore, spin states play an important role in long-known oxidation processes (e.g.,  $\text{O}_2/\text{NO}/\text{NO}_2$ ;  $\text{O}_2/\text{SO}_2/\text{SO}_3$ ), and also in the oxidation of carbon compounds: The first detailed experimental and theoretical data for  $^1\text{O}_2$  reactions with  $2 + 4$  and  $2 + 2$  cycloadditions were presented only a decade ago (28). Thus, the present results may initiate further FT-ICR investigations of  $^1\text{O}_2$  and  $^3\text{O}_2$  reactions in many other chemical oxidation processes that affect our daily lives, e.g., in biology (respiration), engineering (corrosion), and energetics (combustion).

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18. Although neutral species cannot be directly observed in our experiment,  $\text{Al}_2\text{O}$ , as the likely neutral by-product of the oxidation of Al clusters, was indirectly inferred from the following: (i) By performing the experiments under ultralow-pressure conditions, one can ensure that only few collisions of clusters with  $\text{O}_2$  occur (~1 per second), thereby excluding the possibility of involvement of more than one  $\text{O}_2$  molecule per reaction event. Consequently, observing that oxidation of  $\text{Al}_n^-$  clusters yields  $\text{Al}_{(n-4)}^-$  implies concomitant formation of a neutral fragment,  $\text{Al}_4\text{O}_2$ , or several fragments stoichiometrically equivalent to  $\text{Al}_4\text{O}_2$ . (ii) According to the phase space theory, the validity of which was confirmed for Al clusters (17), the formation of  $\text{Al}_4\text{O}_2$  (instead of two  $\text{Al}_2\text{O}$ ) is unlikely, because the average lifetime of an  $\text{Al}_{13}\text{O}_2^-$  or  $\text{Al}_{14}\text{O}_2^-$  adduct with respect to this reaction channel is estimated to be some years (see calculations in SOM Text, section 2). (iii) The formation of Al atoms during degradation of the  $\text{Al}_{13}\text{O}_2^-$  clusters toward  $\text{Al}_9^-$  can be excluded by thermodynamical reasons (SOM Text, section 3).
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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/319/5862/438/DC1  
SOM Text  
Figs. S1 to S3  
Table S1  
References

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## NMR Imaging of Catalytic Hydrogenation in Microreactors with the Use of para-Hydrogen

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Catalysis is vital to industrial chemistry, and the optimization of catalytic reactors attracts considerable resources. It has proven challenging to correlate the active regions in heterogeneous catalyst beds with morphology and to monitor multistep reactions within the bed. We demonstrate techniques, using magnetic resonance imaging and para-hydrogen ( $p\text{-H}_2$ ) polarization, that allow direct visualization of gas-phase flow and the density of active catalyst in a packed-bed microreactor, as well as control over the dynamics of the polarized state in space and time to facilitate the study of subsequent reactions. These procedures are suitable for characterizing reactors and reactions in microfluidic devices where low sensitivity of conventional magnetic resonance would otherwise be the limiting factor.

Catalysis is a fundamental component to many industrial processes and, consequently, the optimization of catalytic reactions and reactors attracts considerable technological effort and financial commitments. An important aspect of this optimization is to correlate the spatial distribution of the reactive conversion inside the reactor with the morphology and packing of the catalyst. Here, we describe a

spectroscopic method for this purpose based on magnetic resonance imaging (MRI) ( $I$ ) that uses hyperpolarized spins derived from  $p\text{-H}_2$  (2, 3). Specifically, we achieve high-resolution, spatially resolved profiles of heterogeneous hydrogenation reactions taking place at a solid-gas interface inside a microreactor. We demonstrate strongly enhanced nuclear magnetic resonance (NMR) signal intensities in the gas phase as well as precise

control over the spatiotemporal dynamics of the polarization. The enhanced sensitivity is particularly important for tracking gases and products in small volumes [e.g., in microfluidic devices (4, 5) or the limited void space of a tightly packed catalyst bed]. Moreover, the controlled delivery of  $p\text{-H}_2$ -induced nuclear spin polarization acts as a spin label that can transport polarization to remote regions in the reactor. This work has implications for studying kinetics and mechanisms of multistep heterogeneously catalyzed reactions and fluid-flow transport, as well as mass and heat transfer. Such characterization should facilitate improved reactor and catalyst design.

Methods to optimize microreactors would be welcome in the context of microfluidic (lab-on-a-chip) technology. In recent years, the compelling advantages of microfluidic technology (4, 5) in biopharmaceutical applications, chemical analysis (6), organic synthesis (7, 8), and industrial catalysis have been recognized and demonstrated

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